## DT05 Rec'd PCT/PTO 0 9 DEC 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

VICTOR LU, et al

Docket: H0004019 (4780)

Serial Number:

Group Art Unit:

Filed:

Examiner:

For: INTERLAYER ADHESION PROMOTER FOR LOW K MATERIALS

DISCLOSURE UNDER 37 C.F.R. 1.56

MAIL STOP: PCT

**Commissioner For Patents** 

P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 C.F.R. 1.56. Copies of the documents are also being submitted herewith to comply with the provisions of 37 C.F.R. 1.97 and 1.98.

The submission of these documents is not intended as an admission that any or all of these materials are prior art against the claims of the present application. Applicant does not waive any rights to file Affidavits under 37 C.F.R. 1.131, to copy claims from the listed patent documents, or to take any other action which would be appropriate in the event that one or more of the documents are determined to be questionable as references against the claims of the present application.

None of these documents are believed to impair the patentability of the presently claimed invention. Nevertheless, to complete the record, formal consideration and citation of these documents is requested.

Respectfully submitted,

Richard S. Roberts Reg. No. 27,941

P.O. Box 484

Princeton, New Jersey 08542

(609) 921-3500

Date: December 9, 2004

## DT05 Rec'd PCT/PT0 0 9 DEC 2004

Express Mail mailing label number: EU418801816US

Date of Deposit: : December 9, 2004

I hereby certify that this document, including all attachments, is being deposited with the United States Postal Service "Express Mail-Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above in a postage paid envelope addressed to the Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450.

> Richard S. Roberts Reg. No. 27,941

## DT05 Rec'd PCT/PTO 0 9 DEC 2004,

| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE   |      |                 |                 | ATTY. DOCKET NO:<br>H0004019 (4780) | SERIAL NO.: |                                  |        |        |
|---|------|-----------------|-----------------|-------------------------------------|-------------|----------------------------------|--------|--------|
| (Rev. 2-32) PATENT AND TRADEMARK OFFICE   |      |                 |                 |                                     |             |                                  |        |        |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT   |      |                 |                 | APPLICANT: VICTOR LU, ET AL.        |             |                                  |        |        |
| (Use several sheets if necessary)   |      |                 |                 | FILING DATE:                        | GROUP:      |                                  |        |        |
| U.S. PATENT DOCUMENTS   |      |                 |                 |                                     |             |                                  |        |        |
| EXAMIN<br>ER<br>INITIAL   |      | DOCUMENT NUMBER | DATE            | NAME                                | CLASS       | FILING DATE<br>IF<br>APPROPRIATE |        |        |
|   | AA   |                 |                 |                                     |             |                                  |        |        |
|   | AB   |                 |                 |                                     |             |                                  |        |        |
|   | AC   |                 |                 |                                     |             |                                  | -      |        |
|   | AD   |                 |                 |                                     |             |                                  |        |        |
|   | AE   |                 |                 |                                     |             |                                  |        |        |
|   | AF   |                 |                 |                                     |             |                                  |        |        |
|   | AG   |                 |                 |                                     |             |                                  |        |        |
|   | AH   |                 |                 |                                     |             |                                  |        |        |
|   | AI   |                 |                 |                                     |             |                                  |        | _      |
|   | AJ   |                 |                 |                                     |             |                                  |        |        |
|   | AK · |                 |                 |                                     |             |                                  |        |        |
|   | l.   |                 | FOREIGN PATEN   | T DOCUMENTS                         |             |                                  |        |        |
|   |      |                 |                 |                                     |             |                                  | TRANSI | .ATION |
|   |      | DOCUMENT NUMBER | DATE            | COUNTRY                             | CLASS       | SUBCLASS                         | YES    | NO     |
|   | AL   | EP 0 687 004    | Dec. 13, 1995   | EUROPE                              |             |                                  |        |        |
|   | AM   | WO 01/78127     | Oct. 18, 2001   | PCT                                 |             |                                  |        |        |
|   | AN . | EP 0 881 678    | Dec. 2, 1998    | EUROPE                              |             |                                  |        |        |
|   | AO   | EP 0 911 875    | Apr. 28, 1999   | EUROPE                              |             |                                  |        |        |
|   | AP   | WO 98/47177     | Oct. 22, 1998   | PCT                                 |             |                                  |        |        |
|   | AQ   | WO 01/86709     | Nov. 15, 2001   | PCT                                 |             |                                  |        |        |
|   | AR   | WO 01/46963     | Jun. 28, 2001   | PCT                                 |             |                                  |        |        |
| OTHER DOCUMENTS(Including Author, Title, Date, Pertinent Pages, etc.)   |      |                 |                 |                                     |             |                                  |        |        |
|   | AS   |                 |                 |                                     |             |                                  |        |        |
|   | AT   |                 |                 |                                     |             |                                  | -      |        |
|   | AU   |                 |                 |                                     |             |                                  |        |        |
| EXAMINE   | ER   | <u> </u>        | DATE CONSIDERED | DATE CONSIDERED                     |             |                                  |        |        |
| Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 600. Draw line through citation if not in |      |                 |                 |                                     |             |                                  |        |        |

conformance and not considered. Include copy of this form with next communication to applicant.